United States Patent and Trademark Office UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov SEP 2 2 2008 FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/588,689 186257/US 9609 06/29/2007 Ryan Smith Westberry 32940 7590 **EXAMINER** DORSEY & WHITNEY LLP KIM, YOUNG J 555 CALIFORNIA STREET, SUITE 1000 **SUITE 1000** PAPER NUMBER **ART UNIT** SAN FRANCISCO, CA 94104 1637 MAIL DATE **DELIVERY MODE** 09/05/2008 **PAPER** 

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
Office Action Communication	10/588,689	WESTBERRY ET AL.
Office Action Summary	Examiner	Art Unit
	Young J. Kim	1637
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	orrespondence address
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period in Faiture to reply within the set or extended period for reply will, by statute any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONEI	i. hely filed the mailing date of this communication.
Status		
1) Responsive to communication(s) filed on		
	action is non-final.	
3) Since this application is in condition for allowa		secution as to the merits is
closed in accordance with the practice under &	•	
Disposition of Claims		
4)⊠ Claim(s) <u>1-18</u> is/are pending in the application		
4a) Of the above claim(s) is/are withdra		
5) Claim(s) is/are allowed.		
6) Claim(s) 1-12 and 15-18 is/are rejected.		
7)⊠ Claim(s) 13 and 14 is/are objected to.		
8) Claim(s) are subject to restriction and/o	r election requirement.	
Application Papers		
9) The specification is objected to by the Examine	er.	
10)⊠ The drawing(s) filed on <u>04 August 2006</u> is/are:		to by the Examiner.
Applicant may not request that any objection to the		•
Replacement drawing sheet(s) including the correct	= ' '	
11) The oath or declaration is objected to by the Ex	caminer. Note the attached Office	Action or form PTO-152.
Priority under 35 U.S.C. § 119		
a) All b) Some * c) None of:  1. Certified copies of the priority document  2. Certified copies of the priority document  3. Copies of the certified copies of the priority document  application from the International Burear  * See the attached detailed Office action for a list	s have been received. s have been received in Application in the second	on No ed in this National Stage
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 4/23/2008.	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ite

Art Unit: 1637

#### **DETAILED ACTION**

#### Information Disclosure Statement

The IDS received on April 23, 2008 is proper and is being considered by the Examiner.

# Drawings

New corrected drawings in compliance with 37 CFR 1.121(d) are required in this application because some of the Figures are not legible. For example, Figure 2, contains tables of reagents and their concentration which are so small that they are not readily legible. Applicant is advised to employ the services of a competent patent draftsperson outside the Office, as the U.S. Patent and Trademark Office no longer prepares new drawings. The corrected drawings are required in reply to the Office action to avoid abandonment of the application. The requirement for corrected drawings will not be held in abeyance.

# Claim Objections

Claims 13 and 14 are objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim cannot depend from any other multiple dependent claims. See MPEP § 608.01(n). Accordingly, the claims 13 and 14 not been further treated on the merits.

Claim 14 is objected to for the following minor informalities:

Claim 14 recites the phrase, "further comprising at least one additional unconventional nucleotide, wherein the combined concentration said dUTP..." It would appear that there should be the word, "of" between the word, "concentration," and the word, "said."

Correction is suggested.

# Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 5 and 6 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

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Claims 5 and 6 recite the limitation, "the primer pair."

There is insufficient antecedent basis for this limitation in the claims.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 11 and 12 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for a method reducing primer aggregation during an amplification a target nucleic acid, wherein said target nucleic acid is DNA, does not reasonably provide enablement for the method, wherein said target nucleic acid is RNA. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention commensurate in scope with these claims.

Factors to be considered in determining whether a disclosure would require undue experimentation are summarized in In Re Wands (858 F.2d 731, 8 USPQ2d 1400 (Fed. Cir. 1988)). They include (A) the quantity of experimentation necessary, (B) the amount of direction or guidance presented, (C) the presence or absence of working examples, (D) the nature of the invention, (E) the state of the prior art, (F) the relative skill of those in the art, (G) the predictability or unpredictability of the art, and (H) the breadth of the claims.

Amount of Direction & Guidance - Enablement Issues:

The instant specification clearly states that "conventional nucleotide refers to a nucleotide which naturally occur in a particular nucleic acid," (page 5, lines 21-22), describing that ATP, TTP, CTP, and GTP are considered "conventional" when that particular nucleic acid is DNA.

The instant specification further states that "unconventional nucleotide refers to a nucleotide that is not naturally occurring in a particular nucleic acid," (page 5, lines 25-26), describing that uracil, while naturally occurring in RNA (or particular nucleic acid), could be "unconventional" when the particular nucleic acid in question is DNA (page 5, lines 29-30).

Claims 11 and 12 are drawn to a method of reducing primer aggregation/amplifying nucleic acids, wherein the method involves amplification of a target nucleic acid with a reaction mixture comprising ATP, TTP, CTP, GTP, recited as being "conventional" nucleotides and dUTP. The method clearly recites that the method results in the reduction of the level of primer aggregates formed during the amplification as compared to amplifying the target nucleic acid using dNTP mix having only conventional nucleotides.

Hence, the method could only be enabled only if dUTP is considered as unconventional.

Consistent with the instant specification, the method would only be enabled when the target nucleic acid is DNA, resulting in the nucleotide dUTP being unconventional.

One skilled in the art would not be able to practice the invention in commensurate in scope of the claims without undue experimentation since the method is dependent on the presence and the concentration of the unconventional nucleotide, dUTP, only unconventional when the target nucleic acid is DNA.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

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Art Unit: 1637

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-4 and 7-12 are rejected under 35 U.S.C. 102(b) as being anticipated by Fraiser et al. (U.S. Patent No. 5,536,649, issued July 16, 1996).

Fraiser et al. disclose a reaction mixture comprising:

- a) 0.2 mM of each of dATP, dCTP, dGTP, and dTTP (which is 200 uM); and
- b) dUTP (column 5, lines 45-46), anticipating claims 1 and 4.

With regard to claims 2-3, 7, and 8, Fraiser et al. disclose that the concentration of each of dNTP (other than dUTP) will be about 0.1 - 1 mM (or 100 to 1000 uM) and the concentration of dUTP will be about 0.5 - 4 mM (or 500 uM to 4000 uM). Hence, the disclosure disclose a mixture having 1000 uM of dNTPs and 500 uM of dUTP, which results in dUTP not exceeding 75% of the dTTP and having about 50% of the dTTP.

In addition, Fraiser et al. explicitly disclose that, "dUTP is used during amplification (DNA synthesis) at about 0.1-1.0 mM to fully or partially replace TTP...preferably, dUTP fully replaces TTP in the amplification reaction and is included at a higher concentration than each of the other three nucleotides to drive the reaction for maximum substitution..." (column 5, lines 9-15).

With regard to claims 9-11, the reaction mixture of Fraiser et al. comprises Klenow fragment (a polymerase enzyme) (column 7, line 59) and a buffer system (column 7, line 49), employed in an amplification method (column 7, lines 57-67).

With regard to claim 12, the artisans disclose a method of amplifying a target nucleic acid, wherein the method employs a target DNA (*Mycobacterium tuberculosis*) and amplifies said DNA with amplification primers, in the presence of dATP, dCTP, dGTP, and dUTP (column 7, lines 22-33).'

Therefore, invention as claimed is anticipated by Fraiser et al.

Claims 15 and 16 are rejected under 35 U.S.C. 102(b) as being anticipated by Monforte et al. (U.S. Patent No. 5,830,655, issued November 3, 1998).

Monforte et al. disclose a mixture comprising a primer pair comprising at least one uracil therein (column 4, line 21 and 55); and a mixture comprising dNTPs. While Monforte et al. is not explicit in the actual concentration employed by the amplification method, it is determined that the requisite concentration is employed as the method of Monforte et al. is also drawn to an amplification reaction.

Therefore, the invention as claimed is anticipated by Monforte et al.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 5, 6, and 15-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fraiser et al. (U.S. Patent No. 5,536,649, issued July 16, 1996) in view of Haberhausen et al. (U.S. Patent No. 6,248,522 B1, issued June 19, 2001).

Fraiser et al. disclose a reaction mixture comprising:

- a) 0.2 mM of each of dATP, dCTP, dGTP, and dTTP (which is 200 uM); and
- b) dUTP (column 5, lines 45-46), anticipating claims 1 and 4.

With regard to claims 2-3, Fraiser et al. disclose that the concentration of each of dNTP (other than dUTP) will be about 0.1 - 1 mM (or 100 to 1000 uM) and the concentration of dUTP will be about 0.5 - 4 mM (or 500 uM to 4000 uM). Hence, the disclosure disclose a mixture having 1000 uM of dNTPs and 500 uM of dUTP, which results in dUTP not exceeding 75% of the dTTP and having about 50% of the dTTP.

In addition, Fraiser et al. explicitly disclose that, "dUTP is used during amplification (DNA synthesis) at about 0.1-1.0 mM to fully or partially replace TTP...preferably, dUTP fully replaces TTP in the amplification reaction and is included at a higher concentration than each of the other three nucleotides to drive the reaction for maximum substitution..." (column 5, lines 9-15).

With regard to claims 9-11, the reaction mixture of Fraiser et al. comprises Klenow fragment (a polymerase enzyme) (column 7, line 59) and a buffer system (column 7, line 49), employed in an amplification method (column 7, lines 57-67).

With regard to claim 12, the artisans disclose a method of amplifying a target nucleic acid, wherein the method employs a target DNA (*Mycobacterium tuberculosis*) and amplifies said DNA with amplification primers, in the presence of dATP, dCTP, dGTP, and dUTP (column 7, lines 22-33).

Fraiser et al. do not explicitly teach that a primer should contain a uracil bases therein, or replaces all of the thymidine bases with uracil bases.

Haberhausen et al. disclose a mixture comprising dNTPs, dUTP and primers comprising one or more uracil bases therein, in a method of amplifying a target nucleic acid, said method comprising the steps of amplifying the target nucleic acids with, "U-containing primer," (column 3, line 42), and dNTP mixtures comprising dATP, dCTP, dGTP, and dTTP, and dUTP (column 3, lines 40-44):

"For this dUTP or a U-containing primer is used in the amplification reaction instead of or in addition to the normal dTTP..." (column 3, lines 40-44, Haberhausen et al.).

It would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Fraiser et al. and Haberhausen et al., thereby arriving at the claimed invention for the following reasons.

Both Fraiser et al. and Haberhausen et al. employ the uracil base substitute in generating an amplification product from a template DNA, for the purpose of decontaminating products during amplification (column 1, lines 53-55).

Therefore, while Fraiser et al. are not explicitly in stating that the primers themselves should contain uracil bases instead of the thymidine bases, one of ordinary skill in the art at the time the invention was made would have been motivated to also replace the thymidine residues in the primers employed in the amplification process, as evidenced by Haberhausen et al., for the purpose of decontamination during amplification process.

Therefore, the invention as claimed is *prima facie* obvious over the cited references.

### Conclusion

No claims are allowed.

# Inquiries

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Young J. Kim whose telephone number is (571) 272-0785. The Examiner is on flex-time schedule and can best be reached from 8:30 a.m. to 4:30 p.m (M-W and F). The Examiner can also be reached via e-mail to Young.Kim@uspto.gov. However, the office cannot guarantee security through the e-mail system nor should official papers be transmitted through this route.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Dr. Gary Benzion, can be reached at (571) 272-0782.

Papers related to this application may be submitted to Art Unit 1637 by facsimile transmission. The faxing of such papers must conform with the notice published in the Official Gazette, 1156 OG 61 (November 16, 1993) and 1157 OG 94 (December 28, 1993) (see 37 CFR 1.6(d)). NOTE: If applicant does submit a paper by FAX, the original copy should be retained by applicant or applicant's representative. NO DUPLICATE COPIES SHOULD BE SUBMITTED, so as to avoid the processing of duplicate papers in the Office. All official documents must be sent to the Official Tech Center Fax number: (571) 273-8300. For Unofficial documents, faxes can be sent directly to the Examiner at (571) 273-0785. Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (571) 272-1600.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Young J. Kim/ Primary Examiner Art Unit 1637 9/5/2008

# Notice of References Cited Application/Control No. 10/588,689 Examiner Young J. Kim Applicant(s)/Patent Under Reexamination WESTBERRY ET AL. Page 1 of 1

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*.	Α	US-5,830,655	11-1998	Monforte et al.	435/6
*	В	US-5,536,649	07-1996	Fraiser et al.	435/91.2
*	С	US-6,248,522	06-2001	Haberhausen et al.	435/6
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	E	US-			
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# FOREIGN PATENT DOCUMENTS

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### **NON-PATENT DOCUMENTS**

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A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

	Substitute for form 1449A/PTO (Modified)  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)	Complete if Known			
		Application Number	10/588,689		
		Filing Date	August 4, 2006		
STATEMENT BY APPLICANT	First Named Inventor	WESTBERRY et al.			
(use as many sheets as necessary)		Art Unit	To be assigned		
		Examiner Name	To be assigned		
Sheet	1	of	2	Attorney Docket Number	186257/US/ (469981-00115)

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
/YK/	A1	US-4,458,006	7/3/1984	DONGES, et al.		
1	A2	US-4,683,195	7/28/1995	MULLIS, et al		
	A3	US-4,683,202	7/28/1995	MULLIS, et al		
	À4	†US-5,536,649	7/16/1996	FRASIER, et al.		
	A5	US-5,409,818	4/25/1995	DAVEY et al.		
	A6	US-5,418,149	5/23/1995	GELFUND, et al.		
	A7	†US-5,455,166	10/3/1995	WALKER		
	A8	†US-5,830,655	11/3/1998	MONFORTE et al.		
	A9	US-6,248,533	6/19/2001	HABERHAUSEN et al.		
1/	A10	US-6,413,718	7/2/2002	LEUSHNER et al.		
$-\mathbf{A}$	A11	US-6,783,940	8/31/2004	McLAUGHLIN et al.		

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т⁰		
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٠		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
/YK/ C1		BEAUCAGE et al., "Deoxynucleoside Phosphoramidites – A New Class of Key Intermediates for Deoypolynucleotide Synthesis"; Tetrahedon Letters, 22:1859-1862 (1981)	
	C2	BRAASCH and COREY, "Locked nucleic acid (LNA): fine tuning the recognition of DNA and RNA"; Chem Biol 2001, 8(1):1-7	.:
	C3	MANIATIS et al., Molecular Cloning: A Laboratory Manual (New York: Cold Spring Harbor Laboratory) pp280-281 (1982)	
	C4	MOK et al., The Eschericha coli Prerimosome and DnaB Helicase Can Form Replication Forks that Move at the Same Rate", J. Biol. Chem. 262:16558-16565 (1987)	
	C5	NIELSEN et al., "Peptide Nucleic Acid (PNA). A DNA Mimic with a Peptide Backbone", <i>Biconjug Chem.</i> 19945(1):3-7	
	C6	RADDING, C.," Homologous Pairing and Strand Exchange in Genetic Recombination"; Ann. Review of Genetics, 16:405-37 (1982)	_
V	C7	UPRETTI, et al., "Enzyme leakage during cryopreservation of ram spermatoza"; Animal Reproduction Science 1996, Vol. 41, pages 27-36	Ī.

				·	
Examiner Signature	/Young J. Kim/	Date Considered	08/30/2008		

<sup>\*</sup>EXAMINER: † These references were previously cited in a related application relied upon for an earlier filing date under 35 USC 120 and no copies are

<sup>\*\*</sup>ExAMINER: † These references were previously cited in a related application relied upon for an earner filing date under 35 USC 120 and no copies are submitted in accordance with 37 CFR 1.98(d). Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (MPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English Language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### Substitute for form 1449A/PTO Complete if Known (Modified) Application Number 10/588,689 INFORMATION DISCLOSURE Filing Date August 4, 2006 STATEMENT BY APPLICANT WESTBERRY et al. First Named Inventor Art Unit To be assigned (use as many sheets as necessary) **Examiner Name** To be assigned Sheet 2 2 186257/US/ (469981-00115) Attorney Docket Number

	٠,	NON PATENT LITERATURE DOCUMENTS	
Examiner initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	. 10
7YK/	C8	VAJDA, T. Cryo-biorganic chemistry: molecular interactions at low temperatures. CMLS Cell Mol. Life Science 1999, Vol. 41, pages 27-36	
/YK/	C9	YUZHAKOU et al., "Replisome Assembly Reveals the Basis for Asymmetric Function in Leading and Langing Strand Replication", Cell 86:877-886	

4828-1331-3793\1

Date Considered Examiner /Young J. Kim/ 08/30/2008 Signature

\*EXAMINER: † These references were previously cited in a related application relied upon for an earlier filing date under 35 USC 120 and no copies are submitted in accordance with 37 CFR 1.98(d). Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through

submitted in accordance with 37 CFR 1.98(d). Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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